

INDIVIDUAL ACCOUNT OPENING FORM

PERSONAL DATA

All fields in this section are compulsory

Title: Mr. Mrs. Ms. Miss. Others

Surname:

First Name: Other Name:

Gender: Male Female Date of Birth (DD/MM/YYYY):

Marital Status: Single Married Divorced Separated

Mother's Maiden Name:

Occupation:

Residential/ Permanent Address:

ATTACH
PASSPORT
PHOTOGRAPH
HERE

Nationality: Residency Status: Resident Non-Resident

Phone No: Email:

ID Type: International Passport: Driver's License National ID Card Voter's ID Card ID No:

*Are you a Politically Exposed Person (PEP) or Associated with a PEP?: Yes No If yes, Please provide details below

EMPLOYMENT DETAILS

Employment Status: Full-Time Self-Employed Retired Others:

Company Name:

Company/ Office Address:

Annual Income: Below N3M N3M - N10M N10M - N50M N50M and above

Source of Investment Fund: Employment Business Others:

If Self-Employed

Business Name:

Business Address:

Annual Turnover: Nature of Business:

RC or Business Registration No. and Date of Incorporation/Registration:

Others Sources of Income:

BANK ACCOUNT DETAILS

Bank Name:

Account Name:

Account No: Bank Verification Number (BVN):

Bank Branch and date the account was opened:

NEXT OF KIN DETAILS

Full Name:

Date of Birth:

Relationship:

Phone No:

Address:

Email:

NON-DISCRETIONARY INVESTMENT CONSENT

I/We hereby acknowledge that I/we have read, understood, and accepted the term of the offer of the issuance as outlined in the offer document and would like to proceed with the investment offer. I acknowledge that investments are subject to market risks, including the risk of default and I understand that I would bear any potential risks associated with the investment. I hereby use this letter as an acceptance of the below investment in my favor

Authorised Signatory & Date:

Authorised Signatory:

ACCOUNT OPENING TERMS & CONDITIONS

PLEASE READ THESE TERMS AND CONDITIONS CAREFULLY BEFORE YOU PROCEED. BY SIGNING THIS ACCOUNT OPENING APPLICATION FORM, YOU UNCONDITIONALLY ACCEPT THESE TERMS AND CONDITIONS AND YOU AGREE TO ABIDE BY THEM.

1. DEFINITIONS

In these Terms and Conditions, the following italicised words shall have the meanings ascribed below:

"Affiliate" means any entity that controls, is controlled by, or is under common control with Custodian Asset Management Limited

"Account" refers to Custodian Asset Management Limited (CAML) Account

"Applicable Law" means all laws in effect now and hereafter, including statutes, rules, regulations, directions, bye-laws, notifications, policies, ordinances, and judgments having the force of law.

"Business Day" means any day other than Saturday, Sunday, or a public holiday in Nigeria when Commercial Banks in Lagos are generally open.

"Compliance" Customers must comply with all applicable laws concerning anti-money laundering, combating terrorism financing, and countering proliferation financing (AML/CFT/CPF). This includes Know Your Customer (KYC) and Customer Due Diligence (CDD) obligations.

"Customer" refers to you.

"Force Majeure Event" We are not liable for failure to perform our obligations due to circumstances beyond our control including acts of God, natural disasters, pandemics, terrorist attacks, civil unrest, war, government sanctions, industrial disputes, and electronic system failures.

"Governing Law" These terms and conditions are governed by the laws of Nigeria.

"Governmental Authority" means any governmental or regulatory body at any level, including state-owned entities.

"Instruction" means any request made by you regarding your account.

"KYC/CDD Information" means you must provide identification and CDD documents as required to comply with AML/CFT/CPF laws.

"Custodian Asset management Ltd", "we", "us", and "our" shall mean CAML as the case may be, for the relevant account.

GENERAL TERMS

2. CUSTOMER OBLIGATIONS:

- You must provide accurate and up-to-date KYC/CDD information and comply with all applicable AML/CFT/CPF laws.
- You must be at least 18 (eighteen) years to be eligible to open and use an account with us, You acknowledge that in order to open an account and continuously operate said account, You must provide us with correct and updated KYC/CDD Information upon request in order to comply with AML/CFT/CPF Laws.
- We shall process your personal data contained in the KYC/CDD Information provided to us in accordance with our Privacy Policy available at <http://custodianassetmanagement.com/privacy-policy/>

4. INDEMNIFICATION: You agree to indemnify Custodian Asset Management Ltd and its Affiliates against any claims resulting from your negligence, violation of Applicable Laws, breach of warranties or failure to comply with these Terms and Conditions.
5. CUSTODIAN ASSET MANAGEMENT RIGHTS: We reserve the right to refuse account opening or terminate the account opening process if you do not comply with these terms or applicable laws.

DATA PROTECTION

"By ticking YES below, I hereby affirm that in line with the relevant Data Protection laws in Nigeria, I consent to the collection and processing of my personal data/information in the absence of any fraud, duress, undue influence or coercion, for the purpose of this account opening and other necessary data processing activities which may arise therefrom, including the performance of the relationship between myself and Custodian Asset Management Ltd. I affirm that I have the requisite capacity under the law to consent to the collection and processing of my personal data. I affirm that I am aware and take cognizance of my rights under the relevant Data Protection laws in Nigeria which include the right to request access, amendment, rectification, cancellation or destruction of my personal data information, the right to lodge a complaint with the relevant authority as well as the right to object to the processing of my personal data.

I further consent to the processing of my personal data (within or outside Nigeria), including transfer of my personal data to any third party for reasons associated with the purpose for which the data is being processed as stated above, including but not limited to data collection, processing and storage."

Yes No

SELF-CERTIFICATION FOR INDIVIDUAL TAX RESIDENCY

Are you tax resident in Nigeria? Yes No

Do you have a Tax Identification Number? Yes No

If yes, please provide Tax Identification Number: N-

If no, please state reason:

Client's Name:

Client's Signature:

Date:

EMAIL INDEMNITY

I/We, the undersigned

with

E-mail Address

hereby authorize CAML (hereinafter referred to as the "Company")

to effect any and all transactions relating to my/our account held with them on the basis of my/our electronic mail (Email). I/We consent to indemnify the Company against any losses whatsoever suffered by me/us or the Company as a result of the Company acting on the basis of the stated email. I/We further consent that should I/We or the Company suffer any loss as more fully enumerated above; I/We shall be liable for the full amount of such loss. I/We hereby consent that the provided e-mail will be my/our preferred means of communication.

ACCOUNT OPENING REQUIREMENTS

Duly Completed Account Opening Form

Acceptable means of identification (Valid (current) Driver's License/International Passport/National ID/Voter's Card) bearing the identity of the account holder(s).

Two recent passport photographs.

Copy of utility bill (PHCN, telephone bill, water corporation bill, waste bill e.t.c) not more than 3 months old showing client's address on the form.

Email indemnity

Evidence of accepted initial deposit for account opening (Cheque, Deposit Slip, screenshot of Electronic Fund Transfer).

Birth certificate (For minors only).

Residence Permit (Foreigner's only).

Notarised Valid ID (Foreigner's only).

Notarised Utility Bill (as applicable).

FOR OFFICIAL PURPOSE ONLY

Client's ID:

Introduced By:

Account Recieved By:

Approved By:

Compliance Officer:

Product Code:

Signature:

Signature:

Signature: