

ESTATE ACCOUNT OPENING FORM

ESTATE DETAILS

Title:

PERSONAL DATA - ADMINISTRATOR 1

*Administrator/ Executor Personal Details (Options marked * are compulsory)*

Title: Mr. Mrs. Ms. Miss. Others

Surname:

First Name: Other Name:

Gender: Male Female *Date of Birth (DD/MM/YYYY):

Marital Status: Single Married Divorced Separated

*Mother's Maiden Name:

*Occupation:

*Residential/ Permanent Address:

ATTACH
PASSPORT
PHOTOGRAPH
HERE

*Nationality: Residency Status: Resident Non-Resident BVN:

*Phone No: *Email:

ID Type: International Passport: Driver's License National ID Card Voter's ID Card ID No:

*Are you a Politically Exposed Person (PEP) or Associated with a PEP?: Yes No If yes, Please provide details below

Preferred Communication Mode: Email Phone Call Text Message

EMPLOYMENT DETAILS

Employment Status: Full-Time Self-Employed Retired Others:

Company Name:

Company/ Office Address:

Annual Income: Below N3M N3M - N10M N10M - N50M N50M and above

Source of Investment Fund: Employment Business Others:

If Self-Employed

Business Name:

Business Address:

Annual Turnover: Nature of Business:

RC or Business Registration No. and Date of Incorporation/Registration:

PERSONAL DATA - ADMINISTRATOR 2

*Administrator/ Executor Personal Details (Options marked * are compulsory)*

Title: Mr. Mrs. Ms. Miss. Others

Surname:

First Name: Other Name:

Gender: Male Female *Date of Birth (DD/MM/YYYY):

Marital Status: Single Married Divorced Separated

*Mother's Maiden Name:

*Occupation:

*Residential/ Permanent Address:

ATTACH
PASSPORT
PHOTOGRAPH
HERE

*Nationality: Residency Status: Resident Non-Resident BVN:

*Phone No: *Email:

ID Type: International Passport Driver's License National ID Card Voter's ID Card ID No:

*Are you a Politically Exposed Person (PEP) or Associated with a PEP?: Yes No If yes, Please provide details below

Preferred Communication Mode: Email Phone Call Text Message

EMPLOYMENT DETAILS

Employment Status: Full-Time Self-Employed Retired Others:

Company Name:

Company/ Office Address:

Annual Income: Below N3M N3M - N10M N10M - N50M N50M and above

Source of Investment Fund: Employment Business Others:

If Self-Employed

Business Name:

Business Address:

Annual Turnover: Nature of Business:

RC or Business Registration No. and Date of Incorporation/Registration:

BANK ACCOUNT DETAILS

Bank Name:

Account Name:

Account No: Bank Verification Number (BVN):

Bank Branch and date the account was opened:

EMAIL INDEMNITY

I/We, the undersigned _____ with
E-mail Address _____ hereby authorize CAML (hereinafter referred to as the "Company")
to effect any and all transactions relating to my/our account held with them on the basis of my/our electronic mail (Email). I/We consent to
indemnify the Company against any losses whatsoever suffered by me/us or the Company as a result of the Company acting on the basis of
the stated email. I/We further consent that should I/We or the Company suffer any loss as more fully enumerated above; I/We shall be liable
for the full amount of such loss. I/We hereby consent that the provided e-mail will be my/our preferred means of communication.

Authorized Signature: _____ Date: _____

Authorized Signature: _____ Date: _____

AGREEMENT OF PRODUCT

TERMS AND CONDITIONS

The Terms and Conditions set below shall be binding on the Account holder(s):

Mandate - the Client agrees that his/her mandate is subject to the Rules and Regulations of the Securities and Exchange Commission (SEC) and the Investment and Securities Act 2025 and all other relevant Rules and Regulations covering the operations of Capital Market Operators.

Non-disclosure - the Client agrees to keep confidential information about the Company and its trading practices and the Company in turn agrees not to divulge the Client's information to any third party except as required as part of performance of its duty or by law.

Anti- Money Laundering - the Client agrees that all his/her transactions will be subject to all relevant Anti-Money Laundering Laws and Regulations.

Third Party Payment - the Client agrees that payments of proceeds of investments from his/her account shall be made ONLY to the Client.

Update- the Client agrees to notify the Company immediately, of any change in the details provided to the Company or at the request of the Company, update his/her records.

Account Statements - the Client will receive monthly statement of accounts and at any time on the client's request. The Client will also receive investment certificate for every transaction undertaken by the Company on his/her behalf. The Client however undertakes to report to the Company any errors in the investment certificate issued, within 3 (three) business days of receipt. Where no objection is raised within the period stipulated above, the statement and investment certificate shall be deemed accepted by the Account Holder.

I/we attest that all information provided herein is accurate and a true representation of my present status. I/we hereby state the funds and source of such funds are legitimate and not directly or indirectly the proceeds of any unlawful activity.

"By ticking the box, I hereby affirm that in line with the relevant Data Protection laws in Nigeria, I consent to the collection and processing of my personal data/information in the absence of any fraud, duress, undue influence or coercion, for the purpose of this account opening and other necessary data processing activities which may arise therefrom, including for the performance of the relationship between myself and Custodian Asset Management Ltd. I affirm that I have the requisite capacity under the law to consent to the collection and processing of my personal data. I affirm that I am aware and take cognizance of my rights under the relevant Data Protection laws in Nigeria which include the right to request for access, amendment, rectification or cancellation or destruction of my personal data/ information, the right to lodge a complaint with the relevant authority as well as the right to object to the processing of my personal data.

I further consent to the processing of my personal data (within or outside Nigeria), including transfer of my personal data to any third party for reasons associated with the purpose for which the data is being processed as stated above, including but not limited to data collection, processing and storage."

SELF-CERTIFICATION FOR INDIVIDUAL TAX RESIDENCY

Are you tax resident in Nigeria? Yes No Do you have a Tax Identification Number? Yes No

If yes, please provide Tax Identification Number: N-

If no, please state reason:

Client's Name:

Client's Signature: _____ Date: _____

ACCOUNT OPENING REQUIREMENTS

Duly Completed Account Opening Form.

Acceptable means of identification (Valid (current) Driver's License/International Passport/National ID/Voter's Card) bearing the identity of the account holder(s).

Two recent passport photographs

Copy of Utility Bill (PHCN, Telephone bill, Water Corporation bill, Waste bill e.t.c.) not more than 3 months old showing residential address of account holder.

E-mail Indemnity (where applicable)

Evidence of accepted Initial deposit for account opening (Cheque, Deposit slip, Screenshot of Electronic Fund Transfer)

Copy of Banker confirmation of Authorised signatories and Estate Account

Resident Permit (Foreigners Only)

Certified True copy of Letter of Administration

FIRS Stamped Power of Attorney (Where one Administrator/ Executor wishes to act on behalf of other Administrators/ Executors of the Estate)

Evidence of payment for probate search fees

Death certificate and or Newspaper Publication of Obituary

FOR OFFICIAL PURPOSE ONLY

Client's ID:

Introduced By:

Account Received By:

Approved By:

Compliance Officer:

Product Code:

Signature:

Signature:

Signature:

Custodian Asset Management Limited is registered and regulated by the Securities and Exchange Commission, Nigeria as a Fund/ Portfolio Manager.